

Group actions on simple stably finite C^* -algebras

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June 21, 2011

The Special Week on Operator Algebras
East China Normal University

joint work with Y. Sato

Notations

- A group Γ is always assumed to be countable, discrete and amenable.
- A C^* -algebra A is always assumed to be unital, simple and separable.
- $U(A)$ denotes the group of unitaries of A , and $\text{Aut}(A)$ denotes the group of automorphisms of A .
- For $u \in U(A)$, $\text{Ad } u \in \text{Aut}(A)$ is given by $x \mapsto uxu^*$ and is called an inner automorphism.
- \mathcal{Z} denotes the Jiang-Su algebra.

Cocycle actions

Definition

A pair (α, u) of a map $\alpha : \Gamma \rightarrow \text{Aut}(A)$ and a map $u : \Gamma \times \Gamma \rightarrow U(A)$ is called a **cocycle action** of Γ on A if

$$\alpha_g \circ \alpha_h = \text{Ad } u(g, h) \circ \alpha_{gh}$$

and

$$u(g, h)u(gh, k) = \alpha_g(u(h, k))u(g, hk)$$

hold for any $g, h, k \in \Gamma$. We write $(\alpha, u) : \Gamma \curvearrowright A$.

We always assume $\alpha_1 = \text{id}$, $u(g, 1) = u(1, g) = 1$ for all $g \in \Gamma$.

When α_g is not inner for any $g \in \Gamma \setminus \{1\}$,

(α, u) is said to be **outer**.

When $u \equiv 1$, $\alpha : \Gamma \curvearrowright A$ is a genuine action.

Cocycle conjugacy

Definition

Two cocycle actions $(\alpha, u) : \Gamma \curvearrowright A$ and $(\beta, v) : \Gamma \curvearrowright B$ are said to be **cocycle conjugate** if there exist a family of unitaries $(w_g)_{g \in \Gamma}$ in B and an isomorphism $\theta : A \rightarrow B$ such that

$$\theta \circ \alpha_g \circ \theta^{-1} = \text{Ad } w_g \circ \beta_g$$

and

$$\theta(u(g, h)) = w_g \beta_g(w_h) v(g, h) w_{gh}^*$$

hold for every $g, h \in \Gamma$.

Our eventual goal is

- to classify the twisted crossed product $A \rtimes_{(\alpha, u)} \Gamma$,
- to classify (α, u) up to cocycle conjugacy and to determine when (α, u) is cocycle conjugate to a genuine action.

Twisted crossed product

Definition

For $(\alpha, u) : \Gamma \curvearrowright A$, the **twisted crossed product** $A \rtimes_{(\alpha, u)} \Gamma$ is the universal C^* -algebra generated by A and a family of unitaries $(\lambda_g^\alpha)_{g \in \Gamma}$ satisfying

$$\lambda_g^\alpha \lambda_h^\alpha = u(g, h) \lambda_{gh}^\alpha \quad \text{and} \quad \lambda_g^\alpha a \lambda_g^{\alpha*} = \alpha_g(a)$$

for all $g, h \in \Gamma$ and $a \in A$.

If (α, u) and (β, v) are cocycle conjugate via $\theta : A \rightarrow B$ and $(w_g)_g$, then $A \rtimes_{(\alpha, u)} \Gamma$ and $B \rtimes_{(\beta, v)} \Gamma$ are canonically isomorphic by

$$a \mapsto \theta(a) \quad \text{and} \quad \lambda_g^\alpha \mapsto w_g \lambda_g^\beta.$$

Group actions on injective factors

Theorem

Let M be an injective factor. Two cocycle actions (α, u) and (β, v) of Γ on M are strongly cocycle conjugate if and only if $\text{Inv}(\alpha, u) = \text{Inv}(\beta, v)$.

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The invariant $\text{Inv}(\alpha, u)$ consists of “centrally trivial part”, “Connes-Takesaki module” and “characteristic invariant”.

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The theorem above has a long history: A. Connes (cyclic groups on II_1), V. F. R. Jones (finite groups on II_1), A. Ocneanu (on II_1), C. E. Sutherland and M. Takesaki (on III_λ with $\lambda \neq 1$), Y. Kawahigashi, C. E. Sutherland and M. Takesaki (abelian groups on III_1), Y. Katayama, C. E. Sutherland and M. Takesaki (all actions)...
and T. Masuda (all cocycle actions with a shorter proof).

\mathcal{Z} -stability of crossed product

Theorem (Y. Sato and M)

Let A be a stably finite C^ -algebra with finite nuclear dimension and with finitely many extremal tracial states. Let Γ be an elementary amenable group.*

Let $(\alpha, u) : \Gamma \curvearrowright A$ be a strongly outer cocycle action.

Then (α, u) is cocycle conjugate to $(\alpha \otimes \text{id}, u \otimes 1) : \Gamma \curvearrowright A \otimes \mathcal{Z}$. In particular, the twisted crossed product $A \rtimes_{(\alpha, u)} \Gamma$ is \mathcal{Z} -stable.

In order to prove this, it suffices to construct a unital embedding of \mathcal{Z} into the fixed point algebra $(A^\infty \cap A')^\alpha$.

Strong outerness

Let $T(A)$ denote the set of tracial states and let π_τ be the GNS representation by $\tau \in T(A)$.

Definition

$\alpha \in \text{Aut}(A)$ is said to be **not weakly inner** if the extension $\bar{\alpha}$ on $\pi_\tau(A)''$ is not inner for any $\tau \in T(A)^\alpha$, that is, there does not exist a unitary $U \in \pi_\tau(A)''$ such that $\bar{\alpha} = \text{Ad} U$.

A cocycle action $(\alpha, u) : \Gamma \curvearrowright A$ is said to be **strongly outer** if α_g is not weakly inner for every $g \in \Gamma \setminus \{1\}$.

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If $T(A) = \{\tau\}$, then

$$\begin{aligned} (\alpha, u) : \Gamma \curvearrowright A \text{ is strongly outer} \\ \iff (\bar{\alpha}, u) : \Gamma \curvearrowright \pi_\tau(A)'' \text{ is outer.} \end{aligned}$$

Elementary amenable groups

Definition

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For instance, all solvable groups are elementary amenable.

There exist amenable groups which are not elementary (R. I. Grigorchuk).

Weak Rohlin property

Theorem

Let A be a nuclear stably finite C^ -algebra with finitely many extremal tracial states and let Γ be elementary.*

*Then any strongly outer cocycle action $(\alpha, u) : \Gamma \curvearrowright A$ has the **weak Rohlin property**, i.e.*

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Theorem

Let A be a nuclear stably finite C^* -algebra with finitely many extremal tracial states and let Γ be elementary.

Then any strongly outer cocycle action $(\alpha, u) : \Gamma \curvearrowright A$ has the **weak Rohlin property**, i.e. for any $F \in \Gamma$ and $\varepsilon > 0$, there exist an (F, ε) -invariant $K \in \Gamma$ and a sequence $(e_n)_n$ of positive contractions in A such that

$$[e_n, a] \rightarrow 0, \quad \alpha_g(e_n)\alpha_h(e_n) \rightarrow 0, \quad \tau(e_n) \rightarrow |K|^{-1}$$

as $n \rightarrow \infty$ for all $a \in A$, $g, h \in K$ with $g \neq h$ and $\tau \in T(A)$.

A bounded sequence $(x_n)_n$ in A is called a **central sequence** if $[x_n, a] \rightarrow 0$ as $n \rightarrow \infty$ for all $a \in A$.

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Let A be a stably finite C^* -algebra with finite nuclear dimension. Then A has the **property (SI)**, i.e. for any central sequences $(x_n)_n$ and $(y_n)_n$ in A satisfying $0 \leq x_n, y_n \leq 1$,

$$\lim_{n \rightarrow \infty} \max_{\tau \in T(A)} \tau(x_n) = 0 \quad \text{and} \quad \inf_{m \in \mathbb{N}} \liminf_{n \rightarrow \infty} \min_{\tau \in T(A)} \tau(y_n^m) > 0,$$

there exists a central sequence $(s_n)_n$ in A such that

$$\lim_{n \rightarrow \infty} \|s_n^* s_n - x_n\| = 0 \quad \text{and} \quad \lim_{n \rightarrow \infty} \|y_n s_n - s_n\| = 0.$$

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By using the weak Rohlin property and the property (SI), we can construct a unital embedding of \mathcal{Z} into the fixed point algebra $(A^\infty \cap A')^\alpha$, which implies the \mathcal{Z} -absorption theorem.

\mathbb{Z} -actions on UHF algebras

Theorem (A. Kishimoto 1995)

Let A be a UHF algebra and let $\alpha : \mathbb{Z} \curvearrowright A$ be a strongly outer action. Then α has the *Rohlin property*, i.e.

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Theorem (A. Kishimoto 1995)

Let A be a UHF algebra and let $\alpha : \mathbb{Z} \curvearrowright A$ be a strongly outer action. Then α has the **Rohlin property**, i.e. for any $m \in \mathbb{N}$, there exist central sequences of projections $(e_n)_n, (f_n)_n$ in A such that

$$\sum_{i=0}^{m-1} \alpha^i(e_n) + \sum_{j=0}^m \alpha^j(f_n) \rightarrow 1.$$

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Theorem (A. Kishimoto 1995)

Let A be a UHF algebra. All strongly outer \mathbb{Z} -actions on A are cocycle conjugate to each other.

The proof uses the **Evans-Kishimoto intertwining argument**, which is an equivariant version of Elliott's intertwining argument.

Stability

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$$u_g \alpha_g(u_h) = u_{gh}, \quad \beta_g(a) = (\text{Ad } u_g \circ \alpha_g)(a) \quad \forall a \in A, g, h \in \Gamma.$$

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Stability of α implies there exists a unitary $v \in A^\infty$ such that

$$u_g = v \alpha_g(v^*) \quad \forall g \in \Gamma.$$

Then we would have

$$\beta_g(a) = (\text{Ad } v \circ \alpha_g \circ \text{Ad } v^*)(a) \quad \forall a \in A, g \in \Gamma,$$

which may induce ‘conjugacy’ between α and β .

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Theorem (M 2010)

Let A be a unital simple AH algebra with slow dimension growth, real rank zero and finitely many extremal tracial states. Let $\alpha : \mathbb{Z} \curvearrowright A$ be a strongly outer action. If α_k is approximately inner for some $k \in \mathbb{N}$, then α has the Rohlin property.

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Theorem (M 2010)

Let A be a unital simple AH algebra with slow dimension growth and real rank zero. If two actions $\alpha, \beta : \mathbb{Z} \curvearrowright A$ have the Rohlin property and $\alpha_1 \circ \beta_{-1}$ is asymptotically inner, then α and β are cocycle conjugate.

\mathbb{Z} -actions on \mathcal{Z}

Theorem (Y. Sato 2010)

All strongly outer \mathbb{Z} -actions on \mathcal{Z} are cocycle conjugate to each other.

We sketch the proof. Let $\alpha, \beta : \mathbb{Z} \curvearrowright \mathcal{Z}$ be strongly outer.

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We sketch the proof. Let $\alpha, \beta : \mathbb{Z} \curvearrowright \mathcal{Z}$ be strongly outer.

(1) By the theorem mentioned before, we may replace α, β with $\alpha \otimes \text{id}, \beta \otimes \text{id} : \mathbb{Z} \curvearrowright \mathcal{Z} \otimes \mathcal{Z}$.

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(2) $Z = \{f : [0, 1] \rightarrow M_{2\infty} \otimes M_{3\infty} \mid f(0) \in M_{2\infty}, f(1) \in M_{3\infty}\}$ is a unital subalgebra of \mathcal{Z} (M. Rørdam and W. Winter 2010).

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(3) By Kishimoto's result, $\alpha \otimes \text{id}$ and $\beta \otimes \text{id}$ are cocycle conjugate as actions on $\mathcal{Z} \otimes B$ with B being a UHF algebra.

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(4) With some extra effort we get cocycle conjugacy on $\mathcal{Z} \otimes \mathcal{Z}$.

Cocycle actions of \mathbb{Z}^2 on AF algebras (1/2)

We write $\mathbb{Z}^2 = \langle a, b \mid bab^{-1} = a \rangle$.

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Theorem (H. Nakamura 1999, M 2010, Y. Sato and M)

Let A be a unital simple AF algebra with finitely many extremal tracial states and let $(\alpha, u) : \mathbb{Z}^2 \curvearrowright A$ be a strongly outer cocycle action. Suppose that α_a^n and α_b^n are approximately inner for some $n \in \mathbb{N}$. Then (α, u) has the Rohlin property.

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For a cocycle action $(\alpha, u) : \mathbb{Z}^2 \curvearrowright A$, we have

$$\begin{aligned} \alpha_b \circ \alpha_a &= \text{Ad } u(b, a) \circ \alpha_{ba} \\ &= \text{Ad } u(b, a) \circ \alpha_{ab} = \text{Ad}(u(b, a)u(a, b)^*) \circ \alpha_a \circ \alpha_b \end{aligned}$$

Conversely, two single automorphisms commuting up to an inner automorphism give rise to a cocycle action of \mathbb{Z}^2 .

Cocycle actions of \mathbb{Z}^2 on AF algebras (2/2)

For $(\alpha, u) : \mathbb{Z}^2 \curvearrowright A$ satisfying $\alpha_g \in \overline{\text{Inn}}(A) \forall g \in \mathbb{Z}^2$, we introduce an invariant $c(\alpha, u) \in \text{OrderExt}(K_0(A), K_0(A))$ as follows:

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Consider the crossed product $B = A \rtimes_{\alpha_a} \mathbb{Z}$ by the first generator α_a . The second generator $\alpha_b \in \text{Aut}(A)$ extends to $\tilde{\alpha}_b \in \text{Aut}(B)$ by letting $\tilde{\alpha}_b(\lambda^{\alpha_a}) = \check{u}\lambda^{\alpha_a}$, where $\check{u} = u(b, a)u(a, b)^*$.

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Let $\tilde{\eta}_0 : \overline{\text{Inn}}(B) \rightarrow \text{OrderExt}(K_1(B), K_0(B))$ be the homomorphism introduced by A. Kishimoto and A. Kumjian.

Define $c(\alpha, u) = \tilde{\eta}_0(\tilde{\alpha}_b) \in \text{OrderExt}(K_1(B), K_0(B))$, which can be identified with $\text{OrderExt}(K_0(A), K_0(A))$.

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Theorem (M 2010, Y. Sato and M)

Let A be as before. Let (α, u) and (β, v) be strongly outer cocycle actions of \mathbb{Z}^2 such that $\alpha_g, \beta_g \in \overline{\text{Inn}}(A)$.

If $c(\alpha, u) = c(\beta, v)$, then (α, u) and (β, v) are cocycle conjugate.

Cocycle actions of \mathcal{Z}^2 on UHF algebras

When A is a UHF algebra, we have

$$\text{OrderExt}(K_0(A), K_0(A)) \cong \text{Hom}(K_0(A), \mathbb{R}/K_0(A)).$$

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Corollary (T. Katsura and M 2008, Y. Sato and M)

Let A be a UHF algebra. There exists a natural bijective correspondence between the following two sets.

- 1 *Cocycle conjugacy classes of strongly outer cocycle actions of \mathbb{Z}^2 on A .*
- 2 $\text{Hom}(K_0(A), \mathbb{R}/K_0(A))$.

Moreover, genuine actions correspond to

$$\{r \in \text{Hom}(K_0(A), \mathbb{R}/K_0(A)) \mid r([1]) = 0\}.$$

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Let $(\alpha, u) : \mathbb{Z}^2 \curvearrowright \mathcal{Z}$ be a cocycle action.

As before, put $\check{u} = u(b, a)u(a, b)^*$.

The following theorem says that the de la Harpe-Skandalis determinant $\Delta_\tau(\check{u}) \in \mathbb{R}/\mathbb{Z}$ is the complete invariant of (α, u) .

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Theorem (Y. Sato and M)

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The proof uses the same idea as \mathbb{Z} -actions:

- (α, u) is cocycle conjugate to $(\alpha \otimes \text{id}, u \otimes 1)$ on $\mathcal{Z} \otimes \mathcal{Z}$.
- We have already classified $(\alpha \otimes \text{id}, u \otimes 1)$ on $\mathcal{Z} \otimes B$ with B being a UHF algebra.
- Some extra effort gives the conclusion.

\mathbb{Z}^N -actions on UHF algebras of infinite type

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Let A be a UHF algebra of infinite type and let $\alpha : \mathbb{Z}^N \curvearrowright A$ be a strongly outer action. Then α has the Rohlin property.

Theorem (M)

Let A be a UHF algebra of infinite type. Then, all strongly outer actions of \mathbb{Z}^N on A are mutually cocycle conjugate to each other.

Actions of the Klein bottle group (1/2)

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Theorem (Y. Sato and M)

Let A be a UHF algebra and let $(\alpha, u) : \Gamma \curvearrowright A$ be a strongly outer cocycle action. Then for any $m \in \mathbb{N}$, there exist central sequences of projections $(e_n)_n, (f_n)_n$ in A such that

$$\begin{aligned} \alpha_a(e_n) - e_n &\rightarrow 0, & \alpha_a(f_n) - f_n &\rightarrow 0, \\ \sum_{i=0}^{m-1} \alpha_b^i(e_n) + \sum_{j=0}^m \alpha_b^j(f_n) &\rightarrow 1. \end{aligned}$$

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We sketch the proof.

Letting $\check{u} = u(b, a)u(a^{-1}, b)^*$, we have $\alpha_b \circ \alpha_a = \text{Ad } \check{u} \circ \alpha_a \circ \alpha_b$, and α_b extends to $\tilde{\alpha}_b \in \text{Aut}(A \rtimes_{\alpha_a} \mathbb{Z})$ by $\tilde{\alpha}_b(\lambda^{\alpha_a}) = \check{u}\lambda^{\alpha_a}$.

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By Kishimoto's theorem, $\exists \theta \in \text{Aut}(A), w \in U(A)$ such that

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asymptotically inner. Then the Evans-Kishimoto intertwining argument works and yields the conclusion.

Open problems

- Show the uniqueness of strongly outer cocycle actions of the Klein bottle group on \mathcal{Z} (work in progress).

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